



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DREWS, Michael J., et al.

Group Art Unit: 3738

Serial No.: 10/765,725

Examiner: PELLEGRINO, Brian E.

Filed: January 26, 2004

Title: HEART VALVE ASSEMBLY AND METHODS OF USING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Applicant(s)'s duty under 37 CFR § 1.56, Applicant(s) hereby submit(s) the attached form(s) to bring information to the attention of the Examiner:

- ☐ PTO-1449
☒ PTO/SB/08A (2 pages)
☐ PTO/SB/08B

The information identified in this Information Disclosure Statement (IDS), including the attached form(s), may or may not be "material" pursuant to 37 CFR § 1.56, and the submission thereof by Applicant(s) shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. §§102-103 with respect to this invention unless specifically designated by Applicant(s) as such.

The filing of this IDS shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR § 1.56, exists.

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- ☐ A check in the amount of _____ is enclosed.
☒ The Commissioner is authorized to charge all fees due for the filing of this IDS, and to credit any overpayment, to Deposit Account No. 50-1105 (Cohen, Sakaguchi & English LLP). Applicant(s) believe(s) the total fee due is \$0.

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I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date listed below.

August 4, 2005

Date of Deposit

Patricia J. English
Patricia J. English

STATEMENT UNDER 37 CFR § 1.97(e)

Applicant(s) make the following statement(s), if checked below, which the U.S. Patent Office may or may not determine to be required for the filing of this IDS in accordance with 37 CFR §§ 1.97 and 1.98.

- ☐ Each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

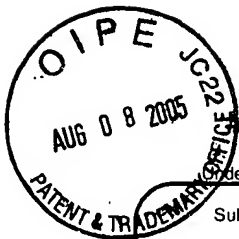
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By: _____

Respectfully submitted,

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Reg. No. 42,515



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	10/765,725
Filing Date	January 26, 2004
First Named Inventor	DREWS, Michael J.
Art Unit	3738
Examiner Name	PELLEGRINO, Brian E.
Attorney Docket Number	ARB-9014

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,744,060	07-10-1973	Bellhouse	
		US- 4,259,753	04-07-1981	Liotta, et al.	
		US- 4,451,936	06-05-1984	Carpentier, et al.	
		US- 4,851,000	07-25-1989	Gupta	
		US- 4,892,541	01-09-1990	Alonso	
		US- 5,032,128	07-16-1991	Alonso	
		US- 5,037,434	08-06-1991	Lane	
		US- 5,071,431	12-10-1991	Sauter, et al.	
		US- 5,147,391	09-15-1992	Lane	
		US- 5,469,868	11-28-1995	Reger	
		US- 5,716,370	02-10-1998	Williamson, IV, et al	
		US- 5,891,160	04-06-1999	Williamson, IV, et al	
		US- 5,984,959	11-16-1999	Robertson, et al.	
		US- 6,217,611	04-17-2001	Klostermeyer	
		US- 6,254,636	07-03-2001	Peredo	
		US- 6,371,983	04-16-2002	Lane	
		US- 6,468,305	10-22-2002	Otte	
		US- 2003/23302 A1	01-30-2003	Moe, et al.	
		US- 2004/0015232	01-22-2004	Shu, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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